

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015				
1.	Name of Listed Entity: Sun Pharma Advanced Research Company Limited			
2.	Scrip Code/Name of Scrip/Class of Security: 532872/ SPARC/ Equity			
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending : 30.09.2019		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment:		
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?	Yes	
	5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				

Sun Pharma Advanced Research Company Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	14	179407230	0	0	179407230	68.46%	179407230	0	179407230	68.46%	0	68.46%	110694646	61.70%	5471797	3.05%	179406030
(B)	Public	81174	82640276	0	0	82640276	31.54%	82638692	0	82638692	31.54%	0	31.54%	5080142	6.15%	NA	NA	81870421
(C)	Non Promoter - Non Public			0	0				0		0.00%	0	0.00%	0	0.00%	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
	Total	81188	262047506	0	0	262047506	100.00%	262045922	0	262045922	100.00%	0	100.00%	115774788	44.18%	5471797	2.09%	261276451

Sun Pharma Advanced Research Company Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)				(X)	(XI)= (VII)+(X) As a %	(XII)		(XIII)		(XIV)
1	Indian																	
(a)	Individuals / Hindu Undivided Family	6	31733020	0	0	31733020	12.11%	31733020	0	31733020	12.11%	0	12.11%	0	0.00%	1600000	5.04%	31733020
	Dilip.S. Shanghvi		28102795	0	0	28102795	10.72%	28102795	0	28102795	10.72%	0	10.72%	0	0.00%	0	0.00%	28102795
	Sudhir V.Valia		1833951	0	0	1833951	0.70%	1833951	0	1833951	0.70%	0	0.70%	0	0.00%	1600000	87.24%	1833951
	Vibha Dilip Shanghvi		1063236	0	0	1063236	0.41%	1063236	0	1063236	0.41%	0	0.41%	0	0.00%	0	0.00%	1063236
	Aalok Dilip Shanghvi		350162	0	0	350162	0.13%	350162	0	350162	0.13%	0	0.13%	0	0.00%	0	0.00%	350162
	Vidhi Dilip Shanghvi		344081	0	0	344081	0.13%	344081	0	344081	0.13%	0	0.13%	0	0.00%	0	0.00%	344081
	Kumud Shantilal Shanghvi		38795	0	0	38795	0.01%	38795	0	38795	0.01%	0	0.01%	0	0.00%	0	0.00%	38795
(b)	Central Government / State Government(s)	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0
(d)	Any Other (Specify)	8	147674210	0	0	147674210	56.35%	147674210	0	147674210	56.35%	0	56.35%	110694646	74.96%	3871797	2.62%	147673010
	Promoter Trust	1	154922	0	0	154922	0.06%	154922	0	154922	0.06%	0	0.06%	0	0.00%	0	0.00%	154922
	Shanghvi Family & Friends Benefit Trust		154922	0	0	154922	0.06%	154922	0	154922	0.06%	0	0.06%	0	0.00%	0	0.00%	154922
	Bodies Corporate	5	142106094	0	0	142106094	54.23%	142106094	0	142106094	54.23%	0	54.23%	110694646	77.90%	0	0.00%	142104894
	Shanghvi Finance Private Limited		137211787	0	0	137211787	52.36%	137211787	0	137211787	52.36%	0	52.36%	110694646	80.67%	0	0.00%	137210587
	Flamboyance Finance Private Limited		2543	0	0	2543	0.00%	2543	0	2543	0.00%	0	0.00%	0	0.00%	0	0.00%	2543
	Sanghvi Properties Private Limited		1887	0	0	1887	0.00%	1887	0	1887	0.00%	0	0.00%	0	0.00%	0	0.00%	1887
	Gujarat Sun Pharmaceutical Industries Pvt Ltd		1751	0	0	1751	0.00%	1751	0	1751	0.00%	0	0.00%	0	0.00%	0	0.00%	1751
	Aditya Medisales Limited		4888126	0	0	4888126	1.87%	4888126	0	4888126	1.87%	0	1.87%	0	0.00%	0	0.00%	4888126
	Persons Acting In Concert	2	5413194	0	0	5413194	2.07%	5413194	0	5413194	2.07%	0	2.07%	0	0.00%	3871797	71.53%	5413194
	Raksha S.Valia		4145231	0	0	4145231	1.58%	4145231	0	4145231	1.58%	0	1.58%	0	0.00%	3871797	93.40%	4145231
	Unimed Investments Limited		1267963	0	0	1267963	0.48%	1267963	0	1267963	0.48%	0	0.48%	0	0.00%	0	0.00%	1267963
	Sub Total (A)(1)	14	179407230	0	0	179407230	68.46%	179407230	0	179407230	68.46%	0	68.46%	110694646	61.70%	5471797	3.05%	179406030
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0%	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0%	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0%	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0%	0	0	0	0	0	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0%	0	0	0	0	0	0	0
	Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0%	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	14	179407230	0	0	179407230	68.46%	179407230	0	179407230	68.46%	0	68.46%	110694646	61.70%	5471797	3.05%	179406030

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Sun Pharma Advanced Research Company Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %			(IX)		(X)	(XI)= (VII)+(X) As	(XII)		(XIII)	(XIV)	
1	Institutions																	
(a)	Mutual Fund	8	1890479	0	0	1890479	0.72%	1890479		1890479	0.72%	0	0.72%	0	0.00%	NA	1890379	
(b)	Venture Capital Funds	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(c)	Alternate Investment Funds	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(e)	Foreign Portfolio Investor	47	8317161	0	0	8317161	3.17%	8317161	0	8317161	3.17%	0	3.17%	0	0.00%	NA	8315961	
(f)	Financial Institutions / Banks	9	986555	0	0	986555	0.38%	986555	0	986555	0.38%	0	0.38%	0	0.00%	NA	986471	
(g)	Insurance Companies	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(i)	Any Other (Specify)	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
	Sub Total (B)(1)	64	11194195	0	0	11194195	4.27%	11194195	0	11194195	4.27%	0	4.27%	0	0.00%	NA	11192811	
2	Central Government/ State Government(s)/ President of India						0.00%				0.00%		0.00%		0.00%			
	Central Government/ State Government(s)	1	668	0	0	668	0.00%	668	0	668	0.00%	0	0.00%	0	0.00%	NA	668	
	Sub Total (B)(2)	1	668	0	0	668	0.00%	668	0	668	0.00%	0	0.00%	0	0.00%	NA	668	
3	Non-Institutions						0.00%				0.00%		0.00%		0.00%			
(a)	Individuals	76521	42439333	0	0	42439333	16.20%	42439333	0	42439333	16.20%	0	16.20%	0	0.00%	NA	41693552	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	76500	29482279	0	0	29482279	11.25%	29482279	0	29482279	11.25%	0	11.25%	0	0.00%	NA	28736498	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	21	12957054	0	0	12957054	4.94%	12957054	0	12957054	4.94%	0	4.94%	0	0.00%	NA	12957054	
(b)	NBFCs registered with RBI	4	4530	0	0	4530	0.00%	4530	0	4530	0.00%	0	0.00%	0	0.00%	NA	4530	
	Trust Employee	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	0	
(e)	Any Other (Specify)	4584	29001550	0	0	29001550	11.07%	28999966	0	28999966	11.07%	0	11.07%	5080142	17.52%	NA	28978860	
	Trusts	5	10318970	0	0	10318970	3.94%	10318970	0	10318970	3.94%	0	3.94%	0	0.00%	NA	10318970	
	Sun Pharmaceutical Industries Key Employees Ben	1	10317377	0	0	10317377	3.94%	10317377	0	10317377	3.94%	0	3.94%	0	0.00%	NA	10317377	
	Hindu Undivided Family	2281	1460411	0	0	1460411	0.56%	1460411	0	1460411	0.56%	0	0.56%	0	0.00%	NA	1460411	
	Non Resident Indians (Non Repat)	459	321499	0	0	321499	0.12%	321499	0	321499	0.12%	0	0.12%	0	0.00%	NA	321499	
	Non Resident Indians (Repat)	974	753615	0	0	753615	0.29%	753615	0	753615	0.29%	0	0.29%	0	0.00%	NA	742751	
	Overseas Bodies Corporates	1	9600	0	0	9600	0.00%	9600	0	9600	0.00%	0	0.00%	0	0.00%	NA	9600	
	Clearing Member	196	537742	0	0	537742	0.21%	537742	0	537742	0.21%	0	0.21%	0	0.00%	NA	537742	
	Bodies Corporate	664	15599713	0	0	15599713	5.95%	15598129	0	15598129	5.95%	0	5.95%	5080142	32.57%	NA	15587887	
	Lakshdeep Investments & Finance (P) Ltd.	1	5080142	0	0	5080142	1.94%	5080142	0	5080142	1.94%	0	1.94%	5080142	100.00%	NA	5080142	
	Sub Total (B)(3)	81109	71445413	0	0	71445413	27.26%	71443829	0	71443829	27.26%	0	27.26%	5080142	7.11%	NA	70676942	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	81174	82640276	0	0	82640276	31.54%	82638692	0	82638692	31.54%	0	31.54%	5080142	6.15%	NA	81870421	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	%
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
1

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Sun Pharma Advanced Research Company Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing , as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the significant beneficial owner (I)		Details of the registered owner (II)		Detials of holding / exercise of right of the SBO in the reporting company, whether direct or indirect (III)					Date of creation / acquisition of significant beneficial interest (IV)
					whether by virtue of:					
	Name	Nationality	Name	Nationality	Shares	Voting rights	Rights on Distributable Dividend or any other distribution	Exercise of Control	Exercise of significant influence	
1	Dilip S. Shanghvi	Indian	Shanghvi Finance Private Limited	Indian	52.36	52.36	52.36	No	No	13-06-2018
2	Dilip S. Shanghvi	Indian	Flamboyawer Finance Private Limited	Indian	0	0	0	No	No	13-06-2018
3	Dilip S. Shanghvi	Indian	Sanghvi Properties Private Limited	Indian	0	0	0	No	No	13-06-2018
4	Dilip S. Shanghvi	Indian	Gujarat Sun Pharmaceutical Industries Pvt Ltd	Indian	0	0	0	No	No	13-06-2018
5	Dilip S. Shanghvi	Indian	Aditya Medisales Limited	Indian	1.87	1.87	1.87	No	No	13-06-2018
6	Dilip S. Shanghvi	Indian	Unimed Investments Limited	Indian	0.48	0.48	0.48	No	No	13-06-2018
7	Dilip S. Shanghvi	Indian	Shanghvi Family & Friends Benefit Trust	Indian	0.06	0.06	0.06	No	No	13-06-2018