# Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Sun Pharma Advanced Research Company Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532872/ SPARC/ Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c): Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending: 30.06.2021
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has issued any Warrants?		No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No
5	Whether the Listed Entity has any shares in locked-in?	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
7	Whether company has equity shares with differential voting rights?		No
8	Whether the listed entity has any significant beneficial owner?	Yes	

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Sun Pharmaceutical Industries Limited
Table I - Summary Statement holding of specified securities

								Number of Vo	ting Rig	jhts held in e	ach			Number of Locked		Number of S	hares	
								class of secu	rities					in shares		pledged or		
																otherwise		
																encumbered	ı	
								No of Voting Rights				Ĭ						1
								<b>g</b>				No. of	Share					
												Shares	holding, as a					
					No. of		Shareholdi	Class eg: X	Class	Total		Under	% assuming					
					shares		ng as a %		eg: y			lying Out	full					
				No. of	underly		of total no.		-			standing	conversion of					Number of
					_		of shares						convertible					
			N	Partly	ing							convert			A 0/			equity
			No. of fully		Deposit		(calculated				Total as		securities (as		As a %			shares held
			paid up		ory		as per					securities	a percentage		of total		of total	in
Cate		shareho	equity shares	shares	Receipt	Total nos.	SCRR,				(A+B+C	(including	of diluted	No.	Shares		Shares	dematerialis
gory	Category of shareholder	Iders	held	held	s	shares held	1957)				)	Warrants)	share capital)	(a)	held(b)	No. (a)	held(b)	ed form
													(XI)=(VII)+(X)					
						(VII) =	(VIII) As a %						Às à % of					
(I)	(II)	(III)	(IV)	(V)	(VI)	(IV)+(V)+ (VI)	• •		(1	X)		(X)	(A+B+C2)	(XI	I)	(XIII	)	(XIV)
(A)	Promoter & Promoter Group	14	179407230		0	179407230					68.46		68.46	38213906	21.30	4100000	2.29	
(B)	Public	110287	82640276	0	0	82640276	31.54	82638692	2 0	82638692	31.54	0	31.54	50000	0.06	NA	NA	81903731
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	NA	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	NA	NA	0
		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	NA	NA	0
(C2)	Shares Held by Employee Trust																	
	Total	110301	262047506	0	0	262047506	100.00	262045922	0	262045922	100.00	0	100.00	38263906	14.60	4100000	1.56	261309761

#### Sun Pharmaceutical Industries Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders		No. of fully		No. of	Total nos.	Sharehold	Number of V	otina R	ights held in e	ach class	No. of	Shareholding ,	Number of	Locked	Number of	f Shares	Number of
	of	paid up	paid-	shares	shares held	ing %	of securities				Shares	as a %	in sha		pledge		equity
	share	equity shares	up	underl			No of Voting	Diahte		Total as	Underlying	assuming full			otherv		shares held
	holder		equity	ying		as per				a % of	Outstanding	conversion of			encum		in
	s		share	Deposi		SCRR,	Class eg: X	Class	Total	(A+B+C)	convertible	convertible	No. (a)			As a %	dematerialise
			s held	tory		1957 As a		eg: y		()	securities	securities (as a		of total			d form
				Receip		% of					(including	percentage of		Shares		Shares	
				ts		(A+B+C2)					Warrants)	diluted share		held(b)		held	
												capital)				(b)	
						(VIII) As a						(XI)=(VII)+(X)					
					(VII) =	% of						As a % of					
(1)	(III)	(IV)	(V)	(VI)	(IV)+(V)+ (VI)	(A+B+C2)		1	(IX)	1	(X)	(A+B+C2)	(XII	)	(XII	I)	(XIV)
1 Indian		0470000			0470000	40.44	0470000		0470000	40.44		10.11	00400705	00.50	_	0.00	0470000
(a) Individuals / Hindu Undivided Family	6				0.1.000-0				31733020						0	0.00	31733020
Dilip Shantilal Shanghvi Sudhir Valia	1 1	28102795 1833951		0 0	20.02.00				0 28102795 0 1833951				28102795 0	100.00	0	0.00	28102795 1833951
Vibha Dilip Shanghvi	1	1063236		) 0	1063236				0 1063236			0.70	0	0.00	0	0.00	1063236
Aalok Dilip Shanghvi	1	350162		0 0					350162				0		0	0.00	350162
Vidhi Dilip Shanghvi	1	344081		0 0					344081	0.13		0.10			0	0.00	344081
Kumud S. Shanghvi	1	38795		) 0					38795				0		0	0.00	38795
(b) Central Government / State	Ö			, ,					0 0				v		0	0.00	
(c) Financial Institutions / Banks	0		_	) 0	_	0.00						0.00	0	0.00	0	0	
(d) Any Other (Specify)	8		0 0		-				147674210				10111111		4100000	2.78	147673010
Promoter Trust	1	154922							154922				0	0.00	0	0.00	
Shanghvi Family & Friends Benefit Trust	1	154922		) 0	154922				154922			0.06	0	0.00	0	0.00	
(Kumud S. Shanghvi and Dilip S.		104322	٦ ٢		104322	0.00	104322	1 `	104322	0.00	Ί	0.00		0.00	· ·	0.00	
Shanghvi)																	154922
Persons Acting In Concert	2	5413194	1 0	) 0	5413194	2.07	5413194	1 (	5413194	2.07	, O	2.07	0	0.00	4100000	75.74	5413194
Raksha S.Valia	1	4145231	1 0	) 0	4145231	1.58	4145231		0 4145231	1.58	3 0	1.58	0	0.00	4100000	98.91	4145231
Unimed Investments Limited	1	1267963		0	1267963			3 (	1267963	0.48			0		0	0.00	1267963
Bodies Corporate	5	142106094	1 0	0	142106094	54.23	142106094	1 (	142106094		3 0	54.23	10111111	7.12	0	0.00	142104894
Shanghyi Finance Private Limited <sup>\$</sup>	1	137211787	7 0	) 0	137211787	52.36	137211787	7 (	137211787	52.36	S C	52.36	10111111	7.37	0	0.00	137210587
Flamboyawer Finance Private Limited	1	2543		) 0	2543	0.00	2543	3 (	2543	0.00	) (	0.00	0	0.00	0	0.00	2543
Sanghvi Properties Private Limited	1	1887		) 0					1887				0		0	0.00	1887
Gujarat Sun Pharmaceutical Industries		1751															1751
Pvt Ltd	1	1/51	0	0	1751	0.00	1751	(	1751	0.00	0	0.00	0	0.00	0	0.00	1/51
Aditya Medisales Limited	1	4888126		0	1000120				4888126			1.07	0	0.00	0	0.00	4888126
Sub Total (A)(1)	14	179407230	0	0	179407230	68.46	179407230	) (	179407230	68.46	6	68.46	38213906	21.30	4100000	2.29	179406030
2 Foreign																	
Individuals (Non-Resident Individuals																	
(a) / Foreign Individuals)	0			0	0	0	(	) (	0 0		) (	0	0	0	0	0	0
(b) Government	0			) 0	•	0	(	) (	0	_		0	0	0	0	0	,
(c) Institutions	0			0	•	0	9	) (	0			0	0	0	0	0	,
(d) Foreign Portfolio Investor	0			0		0	9	) (	0		) (	0	0	0	0	0	,
(e) Any Other (Specify)	0			, ,		0	1	,	0 0		,	,	0	·	0	0	,
Sub Total (A)(2)	0		_	0	-			<u> </u>	0			•	0	•	0	0	
Total Shareholding Of Promoter And	14	179407230	0	0	179407230	68.46	179407230	9 (	179407230	68.46	S  C	68.46	38213906	21.30	4100000	2.29	179406030
Promoter Group																	
(A)=(A)(1)+(A)(2)																	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

<sup>(1)</sup> PAN would not be displayed on website of Stock Exchange(s)

<sup>(2)</sup> The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Sun Pharmaceutical Industries Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Table III - Statement showing shareholding pattern o		No. of fully		No of	Total nos.	Share	Number of V	loting F	Rights held in e	ach class	No. of	Sharahalding as	Mum	ber of	N	nber of	Number of
Category & Name of the snareholders	share holders	paid up	Partly paid-up equity shares	No. of shares under	shares held	holding % calculated as per SCRR.	Number of v	-	ecurities	each class	Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible	Lock	per of ked in ares	Shares pledged or otherwise encumbered		equity shares held in
		snares neid	held	lying Deposi-		1957 As a %	No of	Voting	Rights	Total as	convertible		No. (a)	As a %	No. (a)	As a %	dematerialis
				tory Receipts		of (A+B+C2)	Class eg: X	Class eg: y		a % of (A+B+C)	securities (including Warrants)	percentage of diluted share capital)		of total Shares held(b)		of total Shares held(b)	ed form
(1)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ $(VI)$	(VIII) As a % of (A+B+C2)			(IX)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	()	(II)		XIII)	(XIV)
1 Institutions																	
(a) Mutual Fund	6	53335	0				53335		0 53335		0	0.02	0	_	NA	NA	53235
(b) Venture Capital Funds	0	0	0			0.00	0		0 0	0.00	0	0.00	0		NA	NA	0
(c) Alternate Investment Funds	0	0	0		_	0.00	0		0 0	0.00	0		0		NA	NA	0
(d) Foreign Venture Capital Investors	0	0	0		-	0.00	0		0	0.00	0	0.00	0		NA	NA	0
(e) Foreign Portfolio Investor	54						6837310		0 6837310		0		0		NA	NA	6836110
(f) Financial Institutions / Banks	5			0	705		705		0 705		0		0		NA	NA	621
(g) Insurance Companies	0	0	0	0	0	0.00	0		0	0.00	0	0.00	0	0	NA	NA	0
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0		0	0.00	0	0.00	0	0	NA	NA	0
(i) Any Other (Specify)	0	0	0	0	0	0.00	0		0 0	0.00	0	0.00	0	0	NA	NA	0
Sub Total (B)(1)	65	6891350	0	0	6891350	2.63	6891350		0 6891350	2.63	0	2.63	0	0	NA	NA	6889966
Central Government/ State Government(s)/																	
2 President of India																	
Central Government / State Government(s)	1	668		0	668	0.00	668		0 668	0.00	0	0.00	0		NA	NA	668
Sub Total (B)(2)	1	668	0	0	668	0.00	668		0 668	0.00	0	0.00	0	0	NA	NA	668
3 Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		35991540	0	0	35991540		35991540		0 35991540		0	13.73	50000	0.14		NA	35279233
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	11170877	0	0	11170877	4.26	11170877	1	0 11170877	4.26	0	4.26	0	0	NA	NA	11170877
(b) NBFCs registered with RBI	2	2463	0	0	2463	0.00	2463		0 2463	0.00	0	0.00	0	0	NA	NA	2463
(c) Employee Trusts	0	0	0	0	0	0	0		0 0	0.00	0	0.00	0	0	NA	NA	0
(d) fig)	0	0	0	0	0	0	0		0 0	0.00	0	0.00	0	0	NA	NA	0
(e) Any Other (Specify)	5280	28583378	0	0	28583378	10.91	28581794		0 28581794	10.91	0	10.91	0	0	NA	NA	28560524
Trusts	7	10325844	0	0	10325844	3.94	10325844		0 10325844	3.94	0	3.94	0	0	NA	NA	10325844
Sun Pharmaceutical Industries Key Employees Benefit Trust	1	10317377	0	0	10317377	3.94	10317377		0 10317377	3.94	0	3.94	0	0	NA	NA	10317377
Hindu Undivided Family	2609	1683145	0	0	1683145	0.64	1683145		0 1683145	0.64	0	0.64	0	0	NA	NA	1683145
Non Resident Indians (Non Repat)	565	417257	0	0	417257	0.16	417257		0 417257	0.16	0	0.16	0	0	NA	NA	417257
Non Resident Indians (Repat)	1260	993972	0	0	993972	0.38	993972		0 993972	0.38	0	0.38	0	0	NA	NA	983544
Body Corporate-Ltd Liability-Partnership-DR	57	2276726	0	0	2276726	0.87	2276726		0 2276726	0.87	0	0.87	0	0	NA	NA	2276726
Foreign Portfolio Investor (Individual)	1	900		0	900	0.00	900		900	0.00	0	0.00	0	0	NA	NA	900
Overseas Bodies Corporates	1	9600		0	9600	0.00	9600		9600	0.00	0	0.00	0	0	NA	NA	9600
Clearing Member	199			0		0.19	507786		0 507786		0		0		NA	NA	507786
Bodies Corporate	581			0	12368148	4.72	12366564		0 12366564		0	4.72	0		NA	NA	12355722
Lakshdeep Investments & Finance (P) Ltd.	1	5080142	0	0		1.94	5080142		0 5080142		0		0		NA	NA	5080142
Sub Total (B)(3)	110221	75748258	Ö	0		28.91	75746674		0 75746674	28.91	0	28.91	50000	0.07		NA	75013097
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	110287	82640276	0	0	82640276		82638692		0 82638692		0	31.54	50000	0.06		NA	81903731
Details of Shares which remain unclaimed may be as		<u> </u>	L	L	L	L	L	<u> </u>	<del></del>	l		z riahta whiah ara fr			l		l

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

<sup>(2)</sup> The above format needs to disclose name of all holders holding more than 1% of total number of shares

S	Sun Pharmaceutical Industries Limited																	
_	able IV - Statement showing shareholding pattern of	f the No	n Promot	er- Non P	ublic share	eholder												•
۲	able iv Statement showing charenolaring pattern of	1 1110 110		0. 110	ubiio oriai	J. I.O. I.O. I.O. I.O. I.O. I.O. I.O. I.												
-																Number	of	
												No. of	Shareholding, as	Numbe	r of	Shares	pledged	Ĭ
					No. of		Shareholdin	Number of Voting Rights held in				Shares	a % assuming full	Locked	l in	or other	wise	Ĭ
			No. of		shares		g %					Underlying	conversion of	shares		encumb	ered	Number of
			fully	Partly	underlyin		calculated					Outstanding	convertible					equity
			paid up	paid-up	g		as per	No of Va	tina Dial	-4-		convertible	securities (as a		As a %		As a %	shares
		Nos. of	equity	equity	Deposito		SCRR, 1957	NO OF VC	ting Rig	its	Total as	securities	percentage of		of total		of total	held in
		shareho	shares	shares	ry	Total nos.	As a % of	Class	Class		a % of	(including	diluted share		Shares		Shares	demateriali
	Category & Name of the shareholders	Iders	held	held	Receipts	shares held	(A+B+C2)	eg: X	eg: y	Total	(A+B+C)	Warrants)	capital)	No. (a)	held(b)	No. (a)	held(b)	sed form
						(VII) =	(VIII) As a %						(XI)= (VII)+(X) As					
	(1)	(III)	(IV)	(V)	(VI)	(IV)+(V)+ (VI)	of (A+B+C2)		(E	X)		(X)	a % of (A+B+C2)	(	XII)	()	(III)	(XIV)
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Employee Benefit Trust (under SEBI (Share based	·																
2	Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding																	

0 NA

#### Note:

(C)=(C)(1)+(C)(2)

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
  (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

### **Sun Pharmaceutical Industries Limited**

## Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the s beneficial o	_	Details of the registere (II)	d owner	<sup>(1)</sup> Detials	(2)Date of creation / acquisition of significant				
	Name	Nationality	Name	Nationality	Shares*	Voting rights*	whether by virtue of Rights on Distributable Dividend or any other distribution*	Exercise of Control	Exercise of significant influence	beneficial interest# (IV)
1	Dilip S. Shanghvi	Indian	Shanghvi Finance Private Limited \$	Indian	52.36	52.36	52.36	No	No	13-06-2018
2	Dilip S. Shanghvi	Indian	Flamboyawer Finance Private Limited	Indian	0.00	0.00	0.00	No	No	13-06-2018
3	Dilip S. Shanghvi	Indian	Sanghvi Properties Private Limited	Indian	0.00	0.00	0.00	No	No	13-06-2018
4	Dilip S. Shanghvi	Indian	Gujarat Sun Pharmaceutical Industries Pvt Ltd	Indian	0.00	0.00	0.00	No	No	13-06-2018
5	Dilip S. Shanghvi	Indian	Aditya Medisales Limited	Indian	1.87	1.87	1.87	No	No	13-06-2018
6	Dilip S. Shanghvi	Indian	Unimed Investments Limited	Indian	0.48	0.48	0.48	No	No	13-06-2018
7	Dilip S. Shanghvi	Indian	Shanghvi Family & Friends Benefit Trust (Kumud S. Shanghvi and Dilip S.	Indian	0.06	0.06	0.06	No	No	13-06-2018

<sup>(1)</sup> For Disclosure w.r.t. SBO – Calculations for holdings / exercise of right of the SBO in the reporting company, has been done on the basis of the shareholding of the registered owner in the Company and not on proportionate basis.

Note = \* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

<sup>(2)</sup> June 13, 2018, the date on which Section 90 of the Companies Act, 2013 as substituted by the Companies (Amendment) Act, 2017 relating to Significant Beneficial Owner was notified by the Ministry of Corporate Affairs, has been considered as the Date of creation / acquisition of significant beneficial interest for the purpose of this disclosure.